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# Mermaid construction in Kathmandu Newar

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# Mermaid construction in Kathmandu Newar

Kazuyuki Kiryu The University of Mimasaka

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#### 1. Introduction

Tsunoda (this volume-a) proposes that the prototype of the mermaid construction ('MMC') has the following three properties.

(a) It has the structure shown in (1).

- (b) The subject of the 'Clause' and the 'Noun' are not co-referential.
- (c) The 'Clause' can be used as a sentence by itself.
- (1) Prototype of the mermaid construction ('MMC'): [Clause] Noun Copula.

Newar has two types of the MMC: the noun type and the enclitic type. Neither is a prototypical MMC.

In the noun type, the 'Noun' slot is occupied by the enclitic nominalizer =gu (inanimate) and the noun *bhāgya* 'fate, destiny, lot, luck', and this MMC has something like an epistemic meaning that concerns fate, destiny, lot or luck. Under certain conditions, the intransitive subject ('S') and the transitive subject ('A') must occur in the genitive case, and not in the absolutive case and the ergative case, respectively.

In the enclitic type, the 'Noun' slot is occupied by the enclitic nominalizer =gu (inanimate) (without any noun). The S occurs in the absolutive case, and the A in the ergative case. This MMC has three discourse functions: (i) to make a strong assertion, and (ii) to state a presupposed fact. (iii) In interrogative sentences, this MMC has a tone of interrogation or keen interest.

In addition, there is a construction that involves the enclitic nominalizer =mha (animate singular) (cognate with the noun mha 'body') or  $=p\tilde{i}$  (animate plural). It is a noun-predicate sentence whose predicate is a nominalized clause. It expresses 'one who does ...'. The subject must occur in the absolutive case even when the verb in the nominalized clause is a transitive verb.

## 2. Initial illustration

An example of the noun type is (2), and one example of the enclitic type is (3).

(2) [rãm=ã: ta:mi=mha manu:=yā chẽ: jyā Ram=ERG rich=NMLZ man=GEN house.LOC work da-i]=gubhāgya kha:. vā-е COP.NFND get.to-FD=NMLZ luck do-INF LT: 'That Ram gets to do the work at a rich person's house is good luck.'

FT: It is lucky that Ram will get to work at a rich person's house.

(3) [mhiga: jī: ma:ma: nay-ā]=gu kha:.
yesterday 1SG.ERG dumpling eat-NFC=NMLZ COP.NFND LT: It is true that I ate some dumplings yesterday.
FT: 'Yesterday I ate some dumplings.'

# 3. Profile of the language

#### [1] Outline

The Newar language is a member of the Tibeto-Burman branch of the Sino-Tibetan language family. It is spoken mainly in the Kathmandu Valley of Nepal and also in other towns and villages all over the country. It is officially called 'Nepāl Bhāṣā', and its self-denomination is *newā*. *bhāe*.

According to the National Census 2001, the total population of Newars is about 1,200,000 and the number of Newar speakers is 825,458.

The Newar language discussed in this chapter is the dialect spoken in the metropolitan areas of Kathmandu and Patan cities. In what follows I simply use the term 'Newar' to refer to this variety.

[2] Phonology

The following phonemes can be set up: vowels /a [ə], a;  $\tilde{a}$ ,  $\tilde{a}$ ;  $\bar{a}$  [a],  $\bar{a}$ ;  $\bar{a}$ ,  $\bar{a}$ ;  $\bar{a}$ 

[3] Morphology

Newar is agglutinating in most cases, except the verb conjugation, which is often fusional. There is only one prefix, the negation marker *ma*-, and other bound morphemes are suffixes or enclitics.

[4] Nouns and cases

Newar has the following cases: absolutive, ergative, genitive, dative, locative, comitative, allative and ablative. The ergative may indicate an agent in transitive clauses/sentences (i.e. 'A'), an instrument, or a source/starting point. The absolutive case marker is zero, while other cases are generally shown by enclitics. The case system is basically of the ergative-absolutive type: the ergative case for the A and the absolutive case for the S and the object. However, case marking is not syntactically motivated but semantically motivated (Kiryu 2007).

Newar has a rich system of numeral classifiers.

Number distinction is obligatory with animate nouns: singular (zero) and plural (indicated by one of the two plural suffixes). Bare inanimate nouns are neutral with respect to number. (See Kiryu 2009b.)

[5] Verbs

There are five classes of verbs (Hale 1986; Hale & Shrestha 2006). See Table 1.

	Class I	Class II	Class III	Class IV	Class V
	'get.up'	'do'	'eat'	'turn'	'play'
Dictionary forms	dane	yāye	naye	hile	mhite
Finite Forms					
future/irrealis	dan-e	уā-е	na-e	hil-e	mhit-e
conjunct (FC)					
future/irrealis	dan-i:	yā-i	na-i	hil-i:	mhit-i:
disjunct (FD)					
nonfuture/realis	dan-ā	yān-ā	nay-ā	hil-ā	mhit-ā
conjuct (NFC)					
nonfuture/realis	dan-a	yāt-a	nal-a	hil-a	mhit-ala
perfective disjunct					
(NFPD)					
nonfuture neutral	dã:	yā:	na:	hyu:	mhit-u:
disjunct (NFND)					
imperative (IMP)	dã	уā	na	hyu	mhit-u
Nonfinite Forms					
infinitive (INF)	dan-e	уā-е	na-e	hil-e	mhit-e
concatenated (CM)	dan-ā	yān-ā	nay-ā	hil-ā	mhit-ā
conjunctive (CP)	dan-ā:	yān-ā:	nay-ā:	hil-ā:	mhit-ā:
purposive (PURP)	dã:	yā:	na:	hyu:	mhit-a:

Table 1. Classes and conjugation of verbs

Verbs conjugate for tense, aspect, modality and person. See Table 1.<sup>1</sup>

Person marking system in Newar is different from that commonly found in European languages; it is called the "conjunct/disjunct" system (Hale 1980). In matrix clauses, conjunct forms are used when the subject is first person in affirmative or is second person in interrogative, as long as it reserves controllability and has recognition of the event happening.

The nonfuture neutral disjunct is often called the stative form. In this form, activity verbs express habitual situations, and state verbs express stative situations in the matrix clause. However, in nominalized clauses (discussed in 4.2) activity verbs in this form express only past perfective situations.

Concatenated forms, which are identical to the nonfuture conjunct forms, occur before some auxiliary verbs to form a verbal complex. Conjunctive forms are used for clause linkage. (See Hale and Shrestha 2006 for details.)

Verbs are negated in two ways. With the nonfuture/realis forms, negation mainly employs the negative prefix ma-. Compare (4) and (5). With future forms, the negative particle makhu follows the verb, e.g. (6).

(4) *jī*: *jā* nay-ā. 1SG.ERG cooked.rice eat-NFC 'I ate rice.'

(5)	jĩ:	jā	ma-nay-ā		
	1SG.ERG	cooked.rice	NEG-eat-	NFC	
	'I didn't eat	t rice.'			
(6)	j <b>ĩ</b> :	jā	na-e	(makhu).	
	1SG.ERG	cooked.rice	eat-NFC	NEG	
	'I will (not) eat rice.'				

Newar has two copula verbs: *khaye* 'equational' and *juye* 'inchoative'. Basically, *juye* has an inchoative meaning ('become'), but it can have an equation meaning ('be') under limited circumstances (4.1-[2], -[3]). It may also be translated as 'happen', e.g. (40).

[6] Adjectives

Adjectives conjugate like verbs, but their negation involves not the prefix *ma*- but the negated inchoative copula *ma-ju*: (Kiryu 2011).

[7] Syntax

Basically Newar is a head-final language. A complement and an adjunct precede the head. The basic word order of an intransitive clause is SV, and that of a transitive clause is AOV.

A genitive NP, an adjective, a demonstrative and an adnominal clause precede a noun when they modify it. Numerals do not modify a noun directly. Numerals are always followed by a numeral classifier and form a quantifier phrase. The quantifier phrase may precede or follow the head noun.

There are two types of grammatical marking. In noun-modification, the genitive marker and adnominal markers are attached to the dependent. The adnominal markers agree with the head noun in terms of animacy and number (see Section 4.2), and the grammatical relations of arguments to the verb appear on arguments. They are dependent-marking. On the other hand, the verb-subject agreement is a head-marking type, with the person of the subject marked on the verb (the conjunct/disjunct dichotomy; see Section 3.2).

Newar is a configurational language but the order of S, A, O and other elements is rather free, while the predicate almost always comes after the arguments and adjuncts. Although the grammatical notion of subject is rather elusive in Kathmandu Newar, the subject of a clause can be identified by the subject-verb agreement and the reflexive-binding (see 5.3.5.3). Any element in a clause may be omitted as long as they are understood by discourse inference.

[8] Written tradition

Newar is one of the Tibeto-Burman languages that have a long history of written tradition, and the third oldest next to Tibetan and Burmese. The oldest manuscript was written in 1114 A.D (Malla 1990). Traditionally the language would be written in some variants of Newar scripts. Although the traditional scripts are still in use for special purposes, Newar nowadays is mostly written in the devanāgarī script, which is used in Sanskrit, Hindi and Nepali.

Some of the data to illustrate the MMCs in Kathmandu Newar are elicited and the others are taken from written sources such as magazines, textbooks, newspapers, and weblogs.<sup>2</sup>

# 4. Types of clauses and sentences

4.1 Verb-predicate, adjective-predicate and noun-predicate clauses/ sentences

Clauses/sentences in Newar can be classified into three types, as follows. [1] Verb-predicate clause/sentences

Examples include (7) (intransitive) and (4) to (6) (transitive).

(7) gitā wal-a. Gita come-NFPD 'Gita came.'

[2] Adjective-predicate clauses/sentences Newar has two copula verbs: *khaye* 'equational' and *juye* 'inchoative' (see 3-[5]). In adjective-predicate clauses/sentences, *khaye* is not used, and only *juye* is used.

When *juye* 'inchoative' occurs in the nonfuture neutral disjunct form *ju*; which is stative (see 3-[5]), it functions as an equational copula, e.g. (8). This copula is not obligatory, but when it exists, it implies (i) 'in comparison to others' or (ii) 'judged by the speaker's experience'; see (8). However, for negation, it is obligatory, as in (9).

(8)	thwa	jyā	thāku	(ju:).
	this	job	difficult	COP.NFND
	'This j	job is di	fficult (comp	bared to others or from experience).'
(9)	thwa	j	iyā ti	hāku ma-ju:.

(-)		<i>JJ</i> ==		Juit
	this	job	difficult	NEG-COP.NFND
	'This job	is not diffic	ult.'	

When *juye* occurs in other forms, which are dynamic, it signals a change of state, i.e. 'inchoative', e.g. (10). In the inchoative sense, its omission is not possible.

(10)	thwa	jyā	thāku	jul-a.
	this	job	difficult	COP-NFPD
	'This j	ob has b	become/becam	e difficult.'

[3] Noun-predicate clauses/sentences Both *khaye* 'equational' and *juye* 'inchoative' can be used.

#### (a) *khaye* 'equational'

Generally *khaye* is not obligatory; see (11). However, for negation, it is obligatory; see (12).

<sup>t</sup> (11)	gitā	dāktar	(kha:).		
	Gita	doctor	(COP.NFND)		
	'Gita is	s a doctor	(indeed).'		
(12)	gitā	dāktar	ma-khu.		
	Gita	doctor	NEG-COP.NFND		
	'Gita is not a doctor.'				

In an affirmative clause/sentence, the copula verb *khaye* is assertive. In fact, *khaye* is also used as a lexical verb meaning 'be true'. The strong assertive nuance comes from this fact. When *khaye* is not omitted, (11) may be literally translated as 'It is true that Gita is a doctor' or 'It is the case that Gita is a doctor'.

(b) *juye* 'inchoative'

With a noun predicate, *juye* may occur, e.g. (13). However, its nonfuture neutral disjunct form may not be used; see (13).

(13) gitā dāktar jul-a/\*ju:.
Gita doctor COP-NFPD/COP.NFND
Gita became a doctor/\*Gita is a doctor.

There is a special use of *juye* as an equational copula in noun predicate sentences: *juye* may be used instead of *kha*: when the sentence signals a surprising fact or background information introduced into the discourse. In this function, it occurs in the expression *juy-ā* cwan-a 'COP-CM CONT-NFPD', as in (14). The copula is followed by the continuous auxiliary.

(14) wa lā taskā: sā:=gu nasā that EMPH very be.tasty.NFND=NMLZ food juy-ā cwan-a.
COP-CM CONT-NFPD LT: 'That one has become very tasty food.'
FT: 'To his surprise, that was very tasty food.'

# 4.2 Adnominal and adverbial clauses

We shall examine adnominal clauses ('ACs') in 4.2.1. Three nominalizers are employed in the formation of ACs. One of them can be used as a complementizer, and it is useful to look at this use (4.2.2), before

considering the formation of adverbial clauses (4.2.3).

#### 4.2.1 Adnominal clauses

4.2.1.1 Introductory notes. Newar has three enclitic nominalizers. They agree with the head noun with respect to animacy and number (Malla 1985; Hale and Shrestha 2006).

(a) <i>=mha</i>	animate singular ('ANIM SG')
(b) = $p\tilde{i}$	animate plural ('ANIM PL')

(c) = gu inanimate ('INAN')

=mha and = $p\tilde{i}$  are only used to head adnominal phrases or clauses while =gu can function as a complementizer as well as an adnominal marker. =mha is cognate with the noun mha 'body'. It is also used as a classifier that denotes animate beings. Although the origins of the other two enclitic nominalizers are not clear, =  $p\tilde{i}$  is cognate with the plural suffix  $-p\tilde{i}$  (e.g. (52)), and =gu seems to be cognate with the generic inanimate classifier =gu, for their case declensions in ergative and locative are identical.

When a noun, adjective or verb modifies a noun, the modifier must be followed by one of the nominalizers above. (The nominalizer agrees with the head noun with respect to animacy and number.) I will briefly illustrate this point.

When a noun modifies another noun, the modifying noun is obligatorily followed by the genitive  $=y\bar{a}$ , but the genitive marked modifying noun phrase (i.e.  $r\bar{a}m=y\bar{a}$  and  $as\tilde{a}:=y\bar{a}$ ) may further take a nominalizer to its right, as in (15)-(16). The nominalizer is optional, and this is shown by means of parentheses.

- (15) rām=yā(=gu) kipā
   Ram=GEN(=NMLZ) picture
   'Ram's photo'
- (16) asã:-twā:=yā(=mha) pāsā
   Asan-locality=GEN(=NMLZ) friend
   'a friend in Asan'

In contrast, when an adjective modifies a noun, a nominalizer is obligatory.

- (17) *thāku=gu jyā* difficult=NMLZ job 'a difficult job'
- (18) *ci:dhika:=mha khicā* Ram=NMLZ picture 'a small dog'

(19) *ci:dhika:=pĩ: masta* Ram=NMLZ children 'small children'

4.2.1.2 Internal and external adnominal clauses. The three nominalizers discussed in 4.2.1.1 are also used to form adnominal clauses ('ACs') (or, relative clauses). ACs in Newar can be classified as follows: (i) internal ACs, (ii) external ACs, and (iii) headless ACs. Roughly speaking, in internal ACs, the head noun corresponds to an argument or an adjunct of the AC. In contrast, in external ACs, the head noun is, so to speak, added from outside the underlying clause. It does not correspond to an argument or an adjunct of the AC. (See Teramura (1969) and Tsunoda (this volume-a, 7.2) for a characterization of these two types of ACs.)

In the examples below, the AC is shown with square brackets.

[1] Internal ACs

Relativization is possible on all of the positions on Keenan and Comrie's (1977) accessibility hierarchy, except the object of comparison. Examples include (i) subject: (20), (ii) direct object: (21), (iii) indirect object: (22), (iv) oblique object: (23), (v) possessor or genitive: (24).

(20)	[masta=e=ta children=GEN=DA <sup>*</sup> sar male.teacher 'the teacher who nar			te.NFND=N	MLZ
(21)	$sar = \tilde{a}$	masta=e=	•	kã:=gu]	
(21)	male.teacher=ERG khã story			01	ND=NMLZ
	'the story that the te	acher narra	ted to the ch	ildren'	
(22)	[sar=ã:		$k\tilde{a}$ := $p\tilde{i}$ :]		masta
	male.teacher=ERG	story	narrate.NFN	ND=NMLZ	children
	'the children to who	m the teacl	her narrated a	a story'	
(23)	[jĩ: citti	cway-ā=	gu] ka	alam	
	1SG letter	live-NFC	=NMLZ pe	en	
	'the pen with which	I wrote a l	etter'		
(24)	[pau syã	=gu]		chẽ	
	roof be.t	oroken.NFl	ND=NMLZ	house	;
	'a house whose roof	'is broken'			

The verb in a nominalized clause is almost fully finite, except that it cannot occur in the nonfuture perfective disjunct form. As noted in 3-[5],

there are two nonfuture disjunct forms: perfective and neutral (Table 1). In nominalized clauses, only the nonfuture neutral disjunct form can appear, and the nonfuture perfective disjunct form cannot occur. In this context, the nonfuture neutral disjunct form has the meaning of the nonfuture perfective disjunct (except for intransitive verbs of change of state), e.g.:

(25) [ $r\bar{a}m=\tilde{a}$ :  $ny\bar{a}$ := $gu/*ny\bar{a}t-a=gu$ ] saphu: Ram=ERG buy.NFND=NMLZ/\*buy-NFPD=NMLZ book 'the book that Ram bought'

[2] External ACs Newar also allows external ACs to some extent, e.g.: <sup>3</sup>

- (26) [macā khway-ā cwã:=gu] sa: wa:.
  child cry-CM CONT.NFND=NMLZ voice come.NFND LT: 'The sound of child's crying comes.'
  FT: 'I hear a child crying.'
- (27) [*ipī*: *phyatun-ā cwã*:=*gu*] *khawa=e ji*3PL sit-CM CONT.NFND=NMLZ left=LOC 1SG *phyatun-ā*.
  sit-NFC
  LT: 'I sat to the left of where they are sitting.'
  FT: 'I sat next to them on the left where they sat.'
- (28) [nhya: wae-k-e drowsiness come.INF-CAUS-INF ma-jyu:=gu] khã NEG-be.okay.NFND=NMLZ story LT: 'a talk of not being okay to let drowsiness to come' FT: 'a talk during which you should not fall asleep'

As mentioned above, in external ACs, the head noun is, so to speak, added from outside the underlying clause. It does not correspond to an argument or an adjunct of the AC. In (26), for example, the head noun *sa*. 'voice' does not correspond to any argument or adjunct of the AC. The voice is understood as an outcome of the child's crying. In an external AC, the AC and the head noun are correlated through a pragmatic construal between the two. The cause-effect relationship is one of such pragmatic construals that enable the external adnominal clause to be interpreted.

[3] Headless ACs

ACs may occur without the head noun. The nominalizer in a headless AC bears a case marker like a regular noun.<sup>4</sup> The assumed heads are understood from the context.

(29) [kwapa=e cwã:=pĩ:]=sã: la:=yāta Bhaktapur=LOC live.NFND=NMLZ=ERG water nā: dhā=i. sewage say=FD 'People living in Bhaktapur say nā: [, which means sewage in Patan dialect] for water.' (An interview with Sham Dangol)
(30) [likka cwã:=guli]=i twātha: du.

nearby stay.NFND=NMLZ=LOC step exist.NFND 'There are stairs near the place where he was.'

See Section 6 for headless ACs in the noun-predicate position.

4.2.1.3 Complement clauses with the nominalizer =gu. The inanimate nominalizer =gu also functions like a complementizer to head a complement clause.

Verbs of cognition (*khane* 'see', *swaye* 'look, watch') and verbs of mental process (*lumane* 'remember', *lwa:mane* 'forget') can take a =gu nominalized clause in the 'Complement' slot, e.g.:

(31) cha-mā ta:-mā=gu simā=e ã:gur jwā̃ẽjwā̃ẽ one-CLF big-CLF=NMLZ tree=LOC heavily.laden grape cwã:=gu (Sweet Grapes) say-ā khan-a. be.borne-CM CONT=NMLZ see-NFPD '[The jackal] saw that the tree was heavily laden with grapes.' (32) *tara* kāe=yā=ta kwathā=e kun-ā son=GEN=DAT room=LOC but lock.up-CM lwa:-he-man-e ta:=gu keep.NFND=NMLZ forget-EMPH-STEM-INF *dhūk-ala.*<sup>5</sup> (Original Asti) PRF-NFPD '[He] had even forgotten that he had locked up his son in the room.'

Phasal verbs, which indicate a phase of internal aspectual development, (*suru yāye* 'start', *twa:te* 'stop'), too, can take a nominalized clause as the complement. The verb of the nominalized clause is not finite, but occurs in the infinitive form.

(33)  $mac\tilde{a}$ :  $\bar{a}kha$ : bwan-e=gu suru  $y\bar{a}t-a$ . child.ERG letter read-INF=NMLZ starting do-NFPD 'The child started studying.' (34) macā: ākha: bwan-e=gu twa:t-ala. child.ERG letter read-INF=NMLZ stop-NFPD 'The child stopped studying.'

In the following example, the nominalized clause, which is bracketed, functions rather like an adverbial clause.

(35) wã: lā [tuti syā:=gu]=yā=ta
3SG.ERG EMPH leg hurt.NFND=NMLZ=GEN=DAT
wāstā he ma-yā:-se wal-a.
concern EMPH NEG-do.NFND-ADV come-NFPD
'He came without caring about his leg hurting.'

## 4.2.2 Adverbial clauses

The inanimate nominalizer =gu and some nouns are involved in forming some adverbial clauses. All the finite verb forms except the nonfuture perfective disjunct form can come before the nominalizer =gu. The ergative form of =gu, i.e.  $gul\tilde{i}$ ; is used to introduce a causal clause, e.g. (36).

(36)  $w\bar{a}$   $wa:=gul\bar{i}:$   $l\tilde{a}$   $py\bar{a}t-a.$ rain come.NFND=NMLZ.ERG road get.wet-NFPD 'As it rained, the road got wet.'

There are at least three subordinators — all enclitics — which derive from nouns.

The relative locational noun *nhya:* 'front' is used to index a temporal relation. The verb that comes before *nhya:* occurs only in the infinitive form, e.g. (37).

(37) ji lihã: wa-e=nhya: rām wal-a.
1SG back come-INF=before Ram come-NFPD
'Before I came back, Ram came.'

The sense of 'when' in English is expressed by *balae*, which is originally a noun plus the locative marker. This is now grammaticalized as a subordinator, meaning 'when'. The verb that comes before *balae* inflects almost fully finitely, except that it cannot occur in the nonfuture perfective disjunct form. (This is exactly the case with the inanimate nominalizer =gu (4.2.1.2).)

(38) wā wa-i=balae pihā: wan-e mate.
rain come-FD=when out go-INF NEG.IMP
'When it rains, don't go outside.'

Hale and Shrestha (2006) discuss the use of the noun that means 'place', *thāe*, as a subordinator.

(39) jhi:=sã: chu:t biy-ā ta:=thāe mālsāmān
1PL.ERG discount give-CM PRF.NFND=place goods
nyān-ā cwan-ā.
buy-CM CONT-NFC (Hale and Shrestha 2006: 217)
'We were buying the goods at the place where the discount is given.'

The noun  $th\bar{a}e$  is in the unmarked form and heads the subordinate clause without a nominalizer.

The nominalization of a clause requires a nominalizer: ACs (4.2.1.2) and complement clauses (4.2.1.3). As seen above, in some of the adverbial clauses, the subordinate marker derives from a noun. These adverbial clauses look similar to ACs ('AC + noun'). However, there is one important difference. Namely, in ACs, the verb has to be accompanied by a nominalizer. In contrast, at least in the case of =balae 'when' and  $=th\bar{a}e$  'place', the verb in these adverbial clauses is not accompanied by a nominalizer. It inflects almost fully finitely, except that it cannot occur in the nonfuture perfective disjunct form.

# 5. Mermaid construction

#### 5.1 Introductory notes

Newar has two types of the mermaid construction ('MMC'): the noun type (5.2) and the enclitic type (5.3). Neither conforms to the prototype of the MMC (see Section 1).

#### 5.2 Noun-type MMC

We shall look at the 'Noun' slot (5.2.1), the predicate of the 'Clause' (5.2.2), the 'Copula' (5.2.3), and the subject of the 'Clause' (5.2.4).

#### 5.2.1 'Noun' slot

Only one noun is attested: *bhāgya*, e.g. (2). The noun *bhāgya* was borrowed from Sanskrit and means 'fate, lot, destiny, luck'. In examples such as (40), it is better translated as 'good luck'. The part that would correspond to the 'Clause' of the MMC is shown with square brackets. The subject of the 'Clause' is in bold face.

(40)	[rām=yā	sarkār=yā	jyā	уā-е
	Ram=GEN	government=GEN	work	do-INF

da-i]=gu	bhāgya	jul-a.
get.to-FD=NMLZ	luck	COP-NPD
TT. (Truels and the	Dama	ant to do the wo

LT: 'Luck such that Ram will get to do the work at the government has happened.'

FT: 'Luckily Ram will get to work at the government.'

In (40), the copula *juye* 'inchoative' can be translated as 'happen', as shown above.

(41) [kwa-jāt=yā tha-jāt=yā manu:-ta=e lower-caste=GEN man-PL=GEN higher-caste=GEN manu:-ta pākhē: niyantran=ae сwan-е man-PL from domination=LOC live-INF  $m\bar{a}$ ]=gu bhāgya juy-ā cwan-a. need.NFND=NMLZ destiny COP-CM CONT-NFND LT: 'That lower-caste people must stay under the domination from the higher-caste people is becoming destiny.'

It has been difficult to elicit examples of the MMC with the noun  $bh\bar{a}gya$  'fate, destiny, lot, luck', and, my database yields just a few examples thereof. For example, (41) was obtained in elicitation. It is a direct translation of a Japanese MMC whose noun is *unmee* 'fate'. (See Tsunoda (this volume-b, 5.4.2-[8]).) The following discussion of this MMC is based on the limited data available.

In terms of meaning, this MMC has something like an epistemic meaning that concerns fate, destiny, lot or luck.

#### 5.2.2 Predicate of the 'Clause'

[1] Modal auxiliary verb

It appears that the predicate of the 'Clause' may not be a simple verb but that it must contain a modal auxiliary verb, in addition to the main verb. For example, the predicate contains *dai* (possibility/opportunity) in (2) and (40), and the  $m\bar{a}$ : (necessity/obligation/inevitability) in (41). The modal auxiliary verb may not be omitted. This seems to be related to the semantics of the noun *bhāgya*. Namely, one's fate or luck is out of human control and the sense of non-controllability needs to be expressed.

[2] Nominalizer

The predicate of the 'Clause' (to be precise, the modal auxiliary verb) must be followed by the enclitic nominalizer =gu (INAN) (cf. 4.2.1.1).

It is possible to say that this MMC has the structure shown in (42), in which the 'Noun' slot is occupied by the noun  $bh\bar{a}gya$  and that the nominalizer =gu is inside the 'Clause'. (The square brackets indicate the 'Clause'.) However, concerning the enclitic-type MMC (5.3), I have

FT: Lower-caste people are destined to be dominated by higher-caste people.

adopted the view that the 'Noun' slot is occupied by =gu, i.e. =gu occurs outside the 'Clause'. In view of this, in the noun-type MMC, too, I regard =gu is as part of the 'Noun'. That is, this MMC has the structure shown in (43).

# (42) [... Verb=gu] $bh\bar{a}gya$ Copula (43) [... Verb]=gu $bh\bar{a}gya$ Copula

[3] Inflection of the predicate of the 'Clause'

The modal auxiliary in the predicate is in a finite form. It inflects fully finitely. For example, the modal auxiliary verb is in the future disjunct form in (40), and the nonfuture neutral disjunct form in (41).

#### 5.2.3 'Copula'

Both copula verbs are attested in the 'Copula' slot. Examples include (i) *khaye* (equational): (2), and (ii) *juye* (inchoative): (40), (41), (44).

The copula verb may be followed by an auxiliary verb, e.g. *cwan-a* 'CONT-NFND' in (44).

In some cases, *juyā cwana* 'COP-CM CONT-NFND' has to be used or is strongly preferred, e.g.:

(44)	[waeka:=yā	jyal=ae	si:	māː]=gu	bhāgya	
	3HON=GEN	jail=LOC	die.INF	need.NFND=NMLZ	fate	
	juy-a	cwan-a.				
	COP-CM	CONT-NF	PD			
	LT: 'The fate that he/she must die in jail is/was taking place.'					
	FT: 'The person was destined to die in the jail.'					

In this sentence, juyā cwana is much more natural than kha:.

#### 5.2.4 Subject of the 'Clause'

In Newar generally, the A occurs in the ergative case, e.g. (4) to (6), while the S occurs in the absolutive case (phonologically zero), e.g. (7). Furthermore, the A and the S can occur in the genitive case when the sentence expresses a generic situation.<sup>6</sup> Examples follow: (45) (the S) and (46) (the A).

(45)	thaũ:=yā	masta=e	swa	-dã	pya-dã	nisê:
	today=GEN	children=GE	N thre	e-year	four-year	since
	sku:l=ae	wan-e	mā:.		(Eloha	ın)
	school=LOC	go-INF	must.NFN	ND		
	'Children toda years old.'	y must go to s	school whe	en they	become the	ree or four
(46)	newā:-ta=e	cāhĩ:	dakwa	siyā	newā:.	bhāe
	Newar-PL=GE	EN CNTR	all		Newar	language

*lhā-i,*  $nh\bar{a}p\bar{a}=nis\bar{e}$ . (An interview with Shyam Dangol) speak-FD before=since 'Newars all speak Newar, since a long time ago.'

In (45) and (46), the subject is in the genitive form. It can also appear in the absolutive form, e.g. (47) and in the ergative form, e.g. (48), respectively. Nonetheless, in a generic context it is more natural to use the genitive form. In such sentences, the genitive subject functions as the topic of the sentence that describes a generic or habitual situation.

(47)	thaũ:=yā	masta	swa-dã	pya-dã	nisê:
	today=GEN	children	three-year	four-year	since
	sku:l=ae	wan-e	mā:		
	school=LOC	go-INF	must.NFND		
	'Children toda years old.'	ay must go to s	school when they	become the	ree or four
(48)	newā:-ta	cāhĩ:	dakwasiyā	newā:.	bhāe
	Newar-PL	CNTR	all	Newar	language
	lhā-i, nh	apā=nisē:.			
	speak-FD be	fore=since			

'Newars all speak Newar, since before.'

We shall now look at the subject of the 'Clause' of the MMC.

When the 'Copula' of the MMC is the inchoative copula verb *juye* 'become', the genitive subject is the only choice, whether the situation is generic/habitual or not; the absolutive subject and the ergative subject are not acceptable. Examples include the following. The S: (41) ('lower-caste=GEN man-PL=GEN'), (44) ('3HON=GEN'). The A: (40) ('Ram=GEN').

When the 'Copula' is the equative copula *khaye* 'be', the genitive subject is not the only possibility. The A may be either in the ergative case, e.g. (2) ('Ram=ERG'), or in the genitive case, e.g. (49).

(49) chẽ: [rãm=yā: ta:mi=mha manu:=yā jyā Ram=GEN rich=NMLZ man=GEN house.LOC work da-i]=guуā-е bhāgya kha:. do-INF get.to-FD=NMLZ luck COP.NFND LT: 'That Ram gets to do the work at a rich person's house is good luck.'

FT: It is lucky that Ram will get to work at a rich person's house.

As noted above, in non-MMC sentences, when the situation described is generic or habitual, the subject (S or A) can alternate with the genitive

subject. In this MMC, when the 'Copula' is the equative copula *khaye*, the subject can be in the genitive. In this case the genitive subject seems to function as the topic of the sentence. When the 'Copula' is the inchoative copula *juye*, the genitive subject is the only choice. Again, the genitive subject seems to function as the topic of the sentence. At this stage of research, it is not clear why the genitive is the only choice in the case of the inchoative copula *juye*, e.g. (41), (44).

#### 5.2.5 Sentencehood of the 'Clause'

We now enquire whether the 'Clause' can be used by itself as a sentence. Recall first that the predicate (to be precise, the modal auxiliary verb) of the 'Clause' is finite. That is, as far as the inflection of the predicate is concerned, the 'Clause' should be able to stand on its own as a sentence. The crucial issue is the case marking of the subject.

As seen in 5.2.4, in a generic or habitual context the genitive subject can alternate with the ergative subject (for the A) or the absolutive subject (for the S). The same applies to the MMC, although when the 'Copula' is *juye*, the genitive subject is the only choice. In such instances, the 'Clause' with the genitive subject can be used as a sentence by itself. Compare the following.

#### (a) MMC

(a-1) S: (41) ('lower-caste=GEN man-PL=GEN'), (44) ('3HON=GEN').
(a-2) A: (40) ('Ram=GEN'), (49) ('Ram=GEN')
(b) non-MMC
(b-1) S: (45) ('today=GEN children=GEN'), (50) ('3HON=GEN').
(b-2) A: (48) ('Newar-PL=GEN').

When the subject of the 'Clause' is not in the genitive case, that is, when the A is in the ergative case or when the S is in the absolutive case, the 'Clause' can stand as a sentence on its own. Compare the following.

(a) MMC
(a-1) S: (52) ('1SG.ABS').
(a-2) A: (2) ('Ram=ERG').
(b) non-MMC
(b-1) S: (7) ('Gita=ABS').
(b-2) A: (51) ('Ram-ERG').

Examples follow.

(50)	waeka:=yā	jyal=ae	si:	māl-a.					
	3HON=GEN	jail=LOC	die.INF	need.NFPD					
	'The person was destined to die in the jail.'								

(51) **rãm=ã:** ta:mi=mha manu:=yā chẽ: jyā Ram=ERG rich=NMLZ man=GEN house.LOC work

# уā-е da-i.

#### do-INF get.to-FD

'Ram will get to do the work at a rich person's house.'

#### 5.2.6 Modification of the 'Noun'

In the MMC of Japanese, nouns used in the 'Noun' slot cannot be accompanied by a modifier (such as an adjective, a demonstrative or the like) (Tsunoda, this volume-b, 5.6.4). In this respect, they have lost their nounhood and they are grammaticalized.

In the noun-type MMC in Newar, the noun *bhāgya* 'fate, lot, destiny, luck' used in the 'Noun' slot can be modified. In this respect, it retains its nounhood and it is not grammaticalized. There are at least three examples in which the 'Noun' is modified by a modifier: an adjective in two examples and a pronoun in the genitive case in the third example.

[1] Adjective

In the two examples available, the adjective employed is *ta*:*dhã*: 'big'.

(52)	wae:ka:-pĩ:	nāya:	wa	up	ādhyachd	2	
	3HON-PL	president	and	vic	e-preside	ent	
	ju:=balae		[ji	nã:	duja:	taka	ju-i
	become.NFN	D=when	1SG	too	member	upto	COP-INF
	du=gu	ta:c	łhã:]=	gu	bhāgya	kha:.	
	get.to.NFND=	=NL big	=NML	Z	luck	COP.N	FND
		oo, got to l vice-presid				iey beca	me the president
	FT: 'Very luc	-	, get to	b be a	member		hey became the ata)
(53)	yashodharā,		-		des=yd		mahārāni
	Yashodhara	like.t	his=N	MLZ	countr	y=GEN	queen
	ju-i	da-i]=g	u		chã:=g	и	ta:dhã:=gu
	COP-INF	get.to-F	D=NN	<b>ILZ</b>	2SG=N	MLZ	big=NMLZ
	bhāgya	kha:.					
	fate	COP.NFN	D				
	LT: 'Yashodh like this	hara, that y is big fate.	-	to be	come the	e queen	of a country
	FT: 'Yashodh	•		eat fa	te that yo	u get to	
	become the	ne queen of	facou	ntry l	ike this.'	(Yasod	hara)

[2] Genitive pronoun

The only example available is (54). Compare it with (49).

(54)	[rām=yā	sarkār=yā	jyā	уā-е
	Ram=GEN	government=GEN	work	do-INF

da-i]=gu	wā=yā	bhāgya	jul-a.
get.to-FD=NMLZ	3SG=GEN	luck	COP-NPD

LT: 'That Ram<sub>i</sub> will get to do the work at the government has become his<sub>i</sub> luck.'

FT: It is lucky to Ram<sub>i</sub> that he<sub>i</sub> will get to work at the government.

According to the consultant with whom I checked the above examples, both (54) and (49) sound natural, but (54) shows more clearly whose luck it is. It is possible to interpret (49) as implying that Ram's getting to work at the government is lucky to someone else.

#### 5.3 Enclitic type: nominalizer =gu (inanimate)

#### 5.3.1 Introductory notes

Newar has three enclitic nominalizers: =mha (ANIM SG), = $p\tilde{i}$  (ANIM PL), and =gu (INAN) (cf. 4.2.1.1). The animate nominalizers are only used to head adnominal phrases or clauses while the inanimate nominalizer can function as a complementizer as well as an adnominal marker. They agree with the head noun with respect to animacy and number; see (17) to (19).

=gu (INAN) can occupy the 'Noun' slot of the MMC (cf. (1)). In this use, it does not agree with any noun. In fact, it lacks any head noun that it can agree with.

=mha (ANIM SG) and = $p\bar{i}$ . (ANIM PL) cannot occur in the 'Noun' slot of the MMC. Though they can occur in a similar construction, this construction is best regarded as a noun-predicate sentence/clause whose predicate is a headless AC. It will be discussed separately, in Section 6.

As just noted, in this MMC, the 'Noun' slot is occupied by the enclitic nominalizer =gu. That is, this MMC has the structure shown in (55).

(55) [... Verb]=gu Copula

Examples of this MMC include (3) and the following. (The portion that corresponds to the 'Clause' is shown with square brackets.)

(56)	ae	pāsā,	thana	jhāsã:,	thana	jhāsč	ĩ
	hey	friend	here	come.HON.IMP	here	come	e.HON.IMP
	[jĩː		chi=ta:	sa:t=ā]=gu		kha:	(Elohan)
	1SG	.ERG	2SG=D	AT call-NFC=N	MLZ	COP.	NFND
	'Hi,	my frie	nd! Com	e here please, com	e here p	lease.	I called you.'

This MMC is very similar to the variety of the Japanese MMC that has the enclitic =no in the 'Noun' slot. =no may be considered a nominalizer, although it may also be regarded as the genitive case marker, a non-content noun or a complementizer (Tsunoda, this volume-b, 5.4.4).

The most frequent type of clause in the MMC is a verb-predicate clause,

e.g. (56). Nonetheless, it may be an adjective-clause or a noun-predicate clause. These types of clauses will be discussed in 5.3.5.2 to 5.3.5.4.

#### 5.3.2 'Copula'

Both *khaye* 'equational' and *juye* 'inchoative' can occur in the 'Copula' slot. [1] *khaye* 'equational'

When *khaye* occurs in the 'Copula' slot, more often than not it occurs in the nonfuture neutral disjunct form *kha*, e.g. (56). Other forms of *khaye*, except conjunct forms, are also possible, for instance, the nonfuture perfective disjunct form, *khata*, as in (57). (This is an intransitive sentence. The ergative case indicates, not an agent in a transitive sentence, but a source (to be precise, the source of information).)

(57) [sãkhvā=vā  $hisab = \tilde{a}$ : lakhaũ: thwa statistics=GEN calculation=ERG this hundreds.of.thousands khat-a. bidyārthi phel ju:]=gu student fail COP.NFND=NMLZ COP-NFPD 'According to the statistics, it turned out to be the case that these hundreds of thousands of students had failed.' (www.nepalmandal.com)

[2] *juye* 'inchoative'

The inchoative copula *juye* can be used in noun-predicate clauses/sentences. In this use, it has an inchoative meaning ('become'), not an equational meaning ('be'), e.g. (13), except for the exceptional use noted shortly.

When *juye* is used in this MMC, the sentence implies that the situation is decided. Hence it can carry a sense of strong promise, as in (58), where a mother, seeing her son reluctant to say what he wants, is urging him to say what it is, and is making a promise.

(58) [*jī* nhyā=gu dhā:=sā bi:]=gu 1SG.ERG any=NMLZ say.NFND=though give.FC=NMLZ *jul-a*.

**COP-NFPD** 

LT: 'It happened that I will give whatever you say.'

FT: 'I will never fail to give you whatever you ask.'

When *juye* is in the nonfuture disjunct form, i.e. *jula*, this MMC indicates that the event depicted in the nominalized clause is a newly established situation due to other factors.

(59) [*cā:hyu:wan-e=ta dhyabā māli:*]=*gu jul-a.* travel-INF=DAT money need.FD=NMLZ COP-NFPD LT: 'That I need some money for traveling happened.' FT: 'I eventually needed some money for traveling.' As noted in 4.1-[3], the copula *juye* 'inchoative' may function as an equational copula ('be') when it occurs in the periphrastic form *juy-ā* cwan-a 'COP-CM CONT-NFPD', e.g. (14). This form often stands in the 'Copula' slot of this MMC. The main function is to introduce background information.

(60) nā:=gu [cha-gu] des=ae rāmanāgār one-CLF country=LOC Ramanagar name=NMLZ gã: du]=gujuy-ā cwan-a. village exist.NFND=NMLZ COP-CM CONT-NFPD 'It so happened that a village named Ramanagar existed in a country.' (Elohan)

All of the examples of this MMC given above are affirmative sentences. The 'Copula' of this MMC can be negated only when it is the equational copula. This negation employs the negation prefix ma. Examples include (61) and (62). However, it is not possible to negate the 'Copula' *juye* in any forms.

- (61) [bā=yā=ke nã: dhyabā du]=gu father=GEN=LOC too money exist.NFND=NMLZ ma-khu. NEG-COP.NFND 'It was not the case that his father had any money.'
  (62) ā: [jimi=ke pasa: wan-e=gu i:
- now 1PL.EXCL.GEN=LOC shop go-INF=NMLZ time  $n\tilde{a}$ : du]=gu ma-khut-a. too exist.NFND=NMLZ NEG-COP-NFPD

'Now it is not the case anymore that we have time to go shopping.'

#### 5.3.3 Subject

We shall first deal with the properties of the subject ([1]), followed by the case marking of the subject ([2]).

[1] Subject properties

Thus far I have often used the terms 'subject', 'transitive subject' ('A'), and 'intransitive subject' (S) without characterizing them. Here I propose to characterize the subject in Newar on syntactic grounds. Namely, the prototypical subject has the following properties:

- (63) Prototypical subject in Newar
  - a. The reflexive pronoun *tha*: is controlled by the closest subject.
  - b. The subject agrees with an honorific auxiliary verb.

Each of the 'transitive subject' in the ergative case and the 'intransitive

subject' in the absolutive case possesses these two properties. That is, they are prototypical subject in terms of (63).

(a) Reflexive pronoun

Consider the following examples.

- (64) rām=ā: gitā=yā=ta tha:=gu chẽ: Ram=ERG Gita=GEN=DAT REFL=NMLZ house.LOC khan-a. see-NFPD 'Ram<sub>i</sub> saw Gita<sub>j</sub> in his<sub>i</sub>/\*her<sub>j</sub> house.'
- (65) rām=ã: gitā=yā=ta tha:=gu ghari Ram=ERG Gita=GEN=DAT REFL=NMLZ watch syan-a dhakā: dhāl-a.
  be.broken-NFPD QUOT say-NFPD
  'Ram<sub>i</sub> told Gita<sub>i</sub> that his<sub>i</sub>/\*her<sub>i</sub> watch got broken.'
- (66) *rām=ã*∶ gitā=yā=ta tha:=gu wa Ram=ERG Gita=GEN=DAT 3SG **REFL=NMLZ** chẽ: thyan-a dhakā: dhāl-a. house.LOC arrive-NFPD QUOT say-NFPD a. 'Ram<sub>i</sub> told Gita<sub>i</sub> that he<sub>i</sub> arrived at his<sub>i/\*j</sub> own house.' b. 'Ram<sub>i</sub> told Gita that he<sub>i</sub> arrived at his  $_{i/i}$  own house.'

c. 'Ram told Gita<sub>i</sub> that she<sub>i</sub> arrived at her<sub>i</sub> own house.'

d. 'Ram told Gita<sub>i</sub> that she<sub>i</sub> arrived at her  $*_{i/i}$  own house.'

In (66), there are two subjects: one in the matrix clause and the other in the quoted clause. The reflexive pronoun can only be controlled by the closest subject in the sentence.

This is also the case with the subject of this MMC. Compare the following sentences. The entire sentence (not just the matrix clause or the quoted clause) constitutes an instance of this MMC.

- (67)  $[r\bar{a}m=\tilde{a}: git\bar{a}=y\bar{a}=ta tha:=gu ch\tilde{e}:$ Ram=ERG Gita=GEN=DAT REFL=NMLZ house.LOC  $kh\tilde{a}:]=gu$  kha:.see-NFND=NMLZ COP.NFND 'Ram<sub>i</sub> saw Gita<sub>j</sub> at his<sub>i</sub>/\*her<sub>j</sub> own house.'
- (68) [rām=ā: gitā=yā=ta tha:=gu ghari Ram=ERG Gita=GEN=DAT REFL=NMLZ watch syan-a dhakā: dhā:]=gu kha:.
  break-NFND=NMLZ QUOT say.NFND=NMLZ COP.NFND 'Ram<sub>i</sub> told Gita<sub>i</sub> that his<sub>i</sub>/\*her<sub>i</sub> watch got broken.'

(69) [*rām=ã*: gitā=yā=ta tha:=gu wa Ram=ERG Gita=GEN=DAT 3SG **REFL=NMLZ** chẽ: dhā:]=gu thyan-a dhakā: house.LOC arrive-NFPD QUOT say.NFND=NMLZ kha:. COP.NFND a. 'Ram<sub>i</sub> told Gita<sub>i</sub> that he<sub>i</sub> arrived at his<sub>i/\*j</sub> own house.'

b. 'Ram<sub>i</sub> told Gita that he<sub>i</sub> arrived at  $\lim_{t \to j} v_j$  own house.'

c. 'Ram told Gita<sub>i</sub> that she<sub>i</sub> arrived at her<sub>i</sub> own house.'

d. 'Ram told Gita<sub>i</sub> that she<sub>i</sub> arrived at her  $*_{i/i}$  own house.'

(b) Honorific auxiliary verb

The subject of a clause agrees with an honorific verb when it is a human or a god.

(70)	sār	khwathā=e	di:.
	teacher	room=LOC	stay.HON-NFND
	'The teacher is	in the room.'	
(71)	bhagabān	bijyāt-a.	
	God	come.HON-NFPD	
	'God has come	.'	

If the verb of a clause is volitional, it may further take an honorific auxiliary verb, and this auxiliary verb agrees with the subject, e.g. (72). Non-subject participants do not agree with the honorific auxiliary verb; see (73).

(72)	sār=ã:	bidyārthi=pĩ:=ta	dhay-ã	dil-a.			
	teacher=ERG	student-PL=DAT	say-CM	HON-NFPD			
	'The teacher told (it to) the students.'						

(73) \* $bidy\bar{a}rti=p\bar{i}:=s\bar{a}:$   $sar=y\bar{a}=ta$   $dhay-\bar{a}$  dil-a.student-PL=ERG teacher=GET=DAT say-CM HON-NFPD 'The students told (it) to the teacher.'

This is also the case with the subject of the MMC, as follows.

- (74) [sar=ā: bidyārti-pĩ:=ta dhay-ā dyu:]=gu teacher=ERG student=PL=DAT say-CM HON.NFND=NMLZ kha:. COP.NFND 'The teacher told (it) to the students.'
  (75) \*[bidyārti-pĩ:=sã: sar=yā=ta dhay-ā
  - student-PL=ERG teacher=GEN=DAT say-CM

*dyu:*]=*gu kha:*. HON.NFND=NMLZ COP.NFND 'The students told (it) to the teacher.'

#### [2] Case marking

Case marking of the subject in the main does not differ between independent sentences and this MMC. The A is in the ergative in independent sentences, e.g. (4) to (6) ('Gita.ERG'), and this MMC, e.g. (56) ('ISG.ERG'). The S is in the absolutive case in independent sentences, e.g. (7) ('Gita') and this MMC, e.g. (57) ('student').

#### 5.3.4 Predicate of the 'Clause'

The predicate of the 'Clause' of this MMC is followed by the inanimate nominalizer =gu, like the predicate of ACs. The same restriction imposed on the predicate of ACs (4.2.1.2-[1]) applies to the predicate of this MMC. The restriction is the following. The verb is almost fully finite, except that it cannot occur in the nonfuture perfective disjunct form. It can be in the neutral disjunct form.

The predicate of the 'Clause' of this MMC differs from that of independent sentences in the following two respects.

(a) Independent sentences may be declarative, imperative or interrogative. However, the 'Clause' of this MMC (and that of any MMC for that matter) can only be 'declarative-like'. Imperative-like and interrogative-like clauses may not occur in the MMC.

(b) Sentence-final discourse particles, such as  $k\bar{a}$  (informative assertion) and *nhi* (confirmation), do not occur in the 'Clause'. The 'Clause' does not occupy the sentence-final position.

The predicate of the 'Clause' can be negated, e.g. (76).

(76) mhiga: jimi=sã:: aelā: ma-twan-ā=gu
yesterday 1PL=ERG liquor NEG-drink-NFC=NMLZ
kha:.
COP.NFND

'We didn't drink any liquor yesterday.'

As illustrated in 5.3.2, the 'Copula' of this MMC can be negated when it is the equational copula *khaye*, e.g. (61) and (62). It is possible to negate both the predicate of the 'Clause' and the 'Copula', e.g. (77).

(77) chan=ta jĩ: biswās ma-yān-ā=gu
2SG=DAT 1SG.ERG belief NEG-do-NFC=NMLZ ma-khu. (Elohan)
NEG-COP.NFND
'It is not the case that I don't believe in you.'

#### 5.3.5 Sentencehood of the 'Clause'

5.3.5.1 Introductory notes. We now enquire whether the 'Clause' of this MMC can be used by itself as a sentence.

Very roughly speaking, it is not incorrect to say that the 'Clause' of this MMC can stand on its own as a sentence. For example, compare the 'Clause' of (3) with (78), and similarly (56) with (79).

(78)	mhiga:	jĩ:	ma:ma:	nay-ā.					
	yesterday	<b>ISG.ERG</b>	dumpling	eat-NFC					
	'Yesterday I ate some dumplings.'								
(79)	jĩ:	chi=ta:	sa:t=ā.						
	1SG.ERG	2SG=DAT	call-NFC						
	'I called you	1.'							

Indeed, the propositional meaning of, e.g., the 'Clause' of (3) and that of the corresponding independent sentence, i.e. (78), do not differ. However, there are certain complications.

First, the verb in independent sentences may occur in the nonfuture perfective disjunct form. However, as just noted, that in the 'Clause' of this MMC cannot.

Second, the 'Clause' of the MMC and that of the corresponding independent sentence may differ in meaning. This will be discussed in 5.3.5.2.

Third, this MMC has a discourse effect different from that of the corresponding independent sentence. This will be discussed in 5.3.7.

We shall now look at the three types of clause that can occur in the 'Clause' — verb-predicate clauses (5.3.5.2), adjective-predicate clauses (5.3.5.3), and noun-predicate clauses (5.3.5.4) — paying attention to the sentencehood of the 'Clause'.

5.3.5.2 Verb-predicate clauses. As noted above, the 'Clause' may be used by itself as a sentence. However, they may differ in meaning. This difference is seen both in the conjunct series and the disjunct series (cf. Table 1). Selected examples follow.

[1] Disjunct series

(a) Nonfuture neutral disjunct form and nonfuture perfective disjunct form

As shown in Table 1, there are two nonfuture disjunct forms with respect to aspect: perfective and neutral. The nonfuture perfective disjunct form expresses a perfective situation, and the nonfuture neutral disjunct form expresses mainly a habitual (with action verbs, which express on-going situations with the continuous auxiliary verb *cwane*) or stative (with state verbs, which express resultant state with the continuous auxiliary verb *cwane*) situation but in a certain context a perfective situation. In nominalized clauses, the nonfuture perfective disjunct may not occur syntactically at all (see (80) and (81)), and only the nonfuture neutral disjunct form can be used (see (82) and (83)). In this context, the nonfuture neutral disjunct form has the meaning of the nonfuture perfective disjunct form.

- (80) \*[syan-a=gu] ghari
  be.broken-NFPD=NMLZ watch
  'the watch that broke'
- (81) \*[ $r\bar{a}m=\bar{a}$ :  $ny\bar{a}t-a=gu$ ] saphu: Ram=ERG buy-NFPD=NMLZ book 'the book Ram bought'
- (82) [syã:=gu] ghari
  be.broken.NFND=NMLZ watch
  'the watch that broke/is broken'
- (83)  $[r\bar{a}m=\tilde{a}: ny\bar{a}:=gu]$  saphu: Ram=ERG buy-NFND=NMLZ book 'the book Ram bought'

The interpretation of the nonfuture neutral disjunct form varies depending on the type of the verb. When it is a state verb, the meaning is either perfective or stative in a nominalized clause, e.g. (82). When it is an action verb, only a perfective reading is possible, and a habitual meaning is not obtained, e.g. (83).

Semantically, the nominalized clause in (82) corresponds to the independent sentences in (84) and (85), and that in (83) corresponds to the independent sentence in (86), in which the verb is in the nonfuture perfective disjunct form.

(84) ghari syan-a. watch be.broken-NFPD 'The watch that broke.'

- (85) ghari syã:.
   watch be.broken.NFND
   'The watch is broken.'
- (86)  $r\bar{a}m=\tilde{a}$ : saphu: nyāt-a. Ram=ERG book buy-NFPD 'Ram bought a book.'

The same restriction applies to this MMC. That is, the nonfuture perfective disjunct may not occur at all, and only the nonfuture neutral disjunct form can be used. In this context, when the verb is a stative verb, the nonfuture neutral disjunct form in the 'Clause' of the MMC can be stative or perfective. Consider the following example of a state verb in (87) with (84) and (85).

(87) ghari syã =gu kha:.
watch be.broken.NFND=NMLZ COP.NFND
'The watch broke/is broken.'

On the other hand, when the verb is a dynamic verb, the nonfuture neutral disjunct form in the 'Clause' of the MMC cannot be habitual but only perfective. Consider the following examples of an action verb.

- (88) wã: aelā: twã:.
  3SG.ERG liquor drink.NFND
  'He drinks liquor.'
- (89) wã: aelā: twan-a.
  3SG.ERG liquor drink.NFPD
  'He drank some liquor.'
- (90) [wã: aelā: twã:]=gu kha:.
  3SG.ERG liquor drink.NFND=NMLZ COP.NFND
  'He drank some liquor.'

Outside the MMC, the nonfuture neutral disjunct form of an action verb is interpreted as present habitual (cf. 3-[5]) — if interpreted out of context — e.g. (88). The nonfuture perfective disjunct form has a past perfective meaning, e.g. (89). In the 'Clause' of this MMC, the nonfuture perfective disjunct form may not occur at all, and only the nonfuture neutral disjunct form can be used. In this context, the nonfuture neutral disjunct form has the meaning of the nonfuture perfective disjunct form. That is, it is not interpreted as present habitual but exclusively interpreted as past perfective. See (90). In other words, the 'Clause' of (90) has the meaning of (89), not that of (88).

(b) Future disjunct form (FD)

Outside the MMC, the future disjunct form is generally interpreted as future, although a habitual interpretation is possible.

(91) wã: aelā: twan-i:.
3SG.ERG liquor drink.FD
'He will drink/drinks liquor.'

When the future disjunct form is used in the 'Clause' of this MMC, it describes a present habitual situation.

# (92) [wã: aelā: twan-i:]=gu kha:. 3SG.ERG liquor drink.FD=NMLZ COP.NFND 'He drinks liquor.'

The independent sentences (88) and (91) have a present habitual meaning. However, they differ in that the future disjunct form (i.e. (91)) implies higher frequency than the nonfuture neutral disjunct form (i.e. (88)). This holds true in independent sentences. However, in the 'Clause' (and in nominalized clauses generally), the nonfuture neutral disjunct form is exclusively interpreted as indexing a past situation, as in (90).

[2] Conjunct series

(a) Future conjunct

A future conjunct verb is interpreted only as modal when it occurs without the nominalizer =gu, e.g. (93). When it occurs with =gu, it is interpreted either as a future plan or as a habitual action, e.g. (94) (an instance of this MMC).

(93)	ji	sutha=e	cha	bajae	dan-e.			
	1SG	morning=LOC	six	o'clock	get.up-FC			
	'I will get up at six in the morning.'							

(94) [ji sutha=e cha bajae dan-e]=gu
1SG morning=LOC six o'clock get.up-FC=NMLZ
(kha:).
COP.NFND

'I get up/am going to get up at six in the morning.'

(b) Nonfuture conjunct

A nonfuture conjunct verb is interpreted, if without any context, as past perfective, e.g. (95), but can be interpreted as nonfuture habitual if there is a frequency adverb, e.g. (96).

(95)	ji	sutha=e	cha	bajae		dan-ā.
	1 <b>S</b> G	morning=LOC	six	o'cloc	k	get.up-NFC
	'I got up a	t six in the mornin	g.'			
(96)	ji	gabalê:gablê:	sutha=e		cha	bajae
	1SG	sometimes	morning	=LOC	six	o'clock
	dan-ā.					
	get.up-NF	С				
	'I sometim	es get/got up at siz	x in the m	orning.	•	

However, when a nonfuture conjunct verb occurs in a nominalized clause, it

is only interpreted as past perfective, not as habitual, even when there is a frequency adverb. This constraint holds with this MMC as well, e.g. (97).

(97) ji (gabalē:gablē:) sutha=e cha bajae
1SG (sometimes) morning=LOC six o'clock dan-ā=gu.
get.up-NFC
'I sometimes got up at six in the morning.'

In a nominalized clause or the 'Clause' of the MMC, the habitual meaning is expressed only by the future conjunct form, e.g. (94).

In sum, a verb-predicate clause used as the 'Clause' of this MMC can be used as a sentence by itself. However, there may be a semantic difference between the 'Clause' and the corresponding sentence with respect to the interpretation of tense and aspect.

5.3.5.3 Adjective-predicate clauses. As noted in 4.1-[2], adjective-predicate clauses/sentences may contain the copula *juye* 'inchoative', but not the copula *khaye* 'equational'. Adjective-predicate clauses used in the 'Clause' slot of this MMC have the same properties as those they do in independent sentences, except for the following two respects, which concerns the copula *juye* 'inchoative'.

[1] Non-omissibility

In independent adjective-predicate sentences, the copula *juye* may be omitted, e.g. (8). However, in the 'Clause', the copula *juye* may not be omitted. Consider (98), an instance of this MMC. If the copula in the 'Clause' is deleted, we will obtain (99).

- (98) [thwa jyā taskā: thāku ju:]=gu kha:. this job very difficult COP.NFND=NMLZ COP.NFND 'It is the case that this job has become very difficult.'
- (99) thwa jyā taskā: thāku=gu kha:. this job very difficult=NMLZ COP.NFND 'This job is a very difficult one.'

However, (99) cannot be considered to be an MMC. The omission of the copula *ju*: changes the entire sentence structure to a headless AC, meaning 'This job is a very difficult one'. As discussed in 4.2, when adjectival predicate clauses modify a noun, a nominalizer must follow the adjective, and it must agree the head noun. In the above example,  $th\bar{a}ku=gu$  kha: looks like an MMC, but this is a headless AC, whose head is the subject of the 'Clause' (i.e. this job).

In ACs, the nominalizer must agree with the head noun. In a headless AC, the nominalizer agrees with the covert head. The covert head in (99) is

inanimate. The inanimate nominalizer agrees with the head. However, in the following example, if the copula ju: is omitted, the inanimate nominalizer will not be licensed because the covert head is understood to be animate.

(100) thwa misā taskā: dayālu \*(ju:)=gu
this woman very kind COP.NFND=NMLZ
kha:.
COP.NFND
'This woman \*is/became very kind.'

This sentence would be acceptable as a headless AC only when the nominalizer was replaced with the animate singular nominalizer =mha. Likewise, it is better considered that (99) is not an MMC but a headless adnominal clause.

[2] *ju*: 'nonfuture neutral disjunct' for an inchoative meaning only In independent adjective-predicate sentences, the nonfuture neutral disjunct of the inchoative copula is interpreted as equational, e.g. (8). However, when used in the 'Clause' (and in nominalized clauses generally), it is not interpreted as equational. It is interpreted as inchoative. This is shown in the English translations, e.g. (98), (100).

This is true not only with affirmative clauses/sentences but also with negative clauses/sentences. An adjective predicate is negated by attaching the negation prefix to the copula ma-ju; e.g. (9), (101), (102). A negated adjective predicate has an equational meaning ('be') in adjective-predicate sentences, e.g. (101). However, it has an inchoative meaning an inchoative meaning in the 'Clause', e.g. (102).

- (101) thwa misā dayālu ma-ju:. this woman kind NEG-COP.NFND 'This woman is not kind.'
- (102) [*thwa misā dayālu ma-ju:*]=*gu kha:*. this woman kind NEG-COP.NFND=NMLZ COP.NFND 'This woman once became unkind (but now is kind again).'

This shows that an adjective-clause used in the 'Clause' can be used by itself as a sentence, but that there is an aspectual difference between them. 5.3.5.4 Noun-predicate clauses. As noted in 4.1-[3], a noun-predicate sentence may have no copula verb (when it is an affirmative sentence), e.g. (11). In contrast, a noun-predicate sentence with no copula verb cannot occur in the 'Clause' of this MMC. See:

(103) \*[rām dāktar]=gu kha:. Ram doctor=NMLZ COP.NFND Intended meaning: '(It is the case that) Ram is a doctor.' We shall comment on the uses of the two copula verbs in the 'Clause'. [1] *khaye* 'equational'

*kha:* 'equational' can occur in a noun-predicate sentence. Its presence makes the sentence more assertive, since *kha:* is originally a verb meaning 'to be true'. See (11) and (104).

(104) *rām dāktar (kha:)*. Ram doctor COP.NFND 'Ram is a doctor (indeed).'

Noun-predicate clauses with kha: cannot appear in the 'Clause'; see (105).

(105) \*[*rām dāktar kha:*]=*gu kha:*. Ram doctor COP.NFND=NMLZ COP.NFND Intended meaning: '(It is the case that) Ram is a doctor.'

[2] *juye* 'inchoative'

In noun-predicate sentences, *juye* can occur e.g. (13), (106). It has an inchoative meaning. (However, its neutral disjunct form cannot occur here.) It can occur in the 'Clause'. Here, too, it has an inchoative meaning, not an equational meaning. See (107).

(106)	rām	dāktar	jul-a.	
	Ram	doctor	COP-NFPD	
	'Ram h	as becom	e/became a doctor.'	
(107)	[rām	dāktar	ju:]=gu	kha:.
	Ram	doctor	COP.NFND=NMLZ	COP.NFND
	6 / T. • .1	1		1 /

'(It is the case that) Ram has become/became a doctor.'

Note that, in the 'Clause', noun-predicate clauses and adjective-predicate clauses share the same property: they must have the inchoative copula; and the copula has an inchoative meaning only, and lacks an equational meaning.

Noun-predicate clauses used in the 'Clause' can be used by themselves as independent sentences, but not as they stand. The independent sentence that corresponds to the 'Clause' of (107) must be (106). Since the nonfuture neutral disjunct form of the inchoative copula, i.e. ju; cannot appear in a noun-predicate sentence (cf. (13)), the 'Clause' part in (107) cannot be an independent sentence as it stands. Since the meaning of the copula ju: in (107) is dynamic, the corresponding independent sentence will be only (106). This is because the nonperfective disjunct form of a verb cannot occur before the nominalizers, and the respective nonfuture neutral disjunct form is used instead in this case. In sum, the sentencehood of the 'Clause' of the MMC where the 'Noun' is =gu (INAN) differs in degree among verb-predicate, adjective-predicate and noun-predicate clauses. The 'Clause' whose predicate is a verb is higher in the degree of sentencehood than the other two, and the 'Clause' whose predicate is an adjective is higher than that whose predicate is a noun.

# 5.3.6 Use in adverbial clauses

This MMC may occur in some adverbial clauses. However, there are some cases in which the copula *khaye* 'equational' is disfavored or rejected. In such cases, the copula verb *juye* 'inchoative' is in turn preferred. Examples follow.

[1] Temporal clauses that are headed by *balae* 'when', *nhya:* 'before' or  $dh\tilde{u}:k\bar{a}$ : 'after'

Generally this MMC is not natural. Nonetheless I found one example:

(108)	ale [ni-thāe		jaka		bũ	wan-e]=gu
	and	two-CLF	onl	у	paddy	go-FC=NMLZ
	ju:=balae		nhĩ:		nhĩ:	ni-mha
	COP.NFND=wl		nen day.ERG		day.EI	RG two-CLF
	ni-mhã:		bũ wan-ā		cwan-e	2
	two-CLF.ERG		field go-CM		1 stay-IN	٨F
	mwā	:l-a.				

be.unnecessary-NFPD

'And when it was the case that we went only to two paddies, it was no longer necessary for two of us to go there every day.' (Stories in my memories)

In this example, the copula ju: does not carry the inchoative sense. It functions exactly like the equational copula kha. It is not possible to replace ju: with kha: here.

[2] Causal clauses

Both *khaye* and *juye* are possible, but when there is no assertive tone, the copula *juye* is preferred, as in (109).

(109)	[gũ:lā=yā	punhi	kunhu	<u>han-i:]=gu</u>			
	Gunla.month=GEN	full.moon	day	respect-FD=NMLZ			
	ju:=gulĩ:	thuki=yā=ta		gũ:punhi			
	COP.NFND=because	this=GEN=DA		Г Gunpunhi			
	dhā:=gu	kha	:	(Elohan)			
	say.NFND=NMLZ	CO	P.NFND	I Contraction of the second			
	'Since they respect the day of the full moon in Gunla						

(August-September), they call this day "Gunpunhi".'

In this example, the copula ju: can be replaced with *kha*:, but *kha*: will sound emphatic, stressing that it is a fact. Without such a tone, the copula ju:

is unmarked; or it signals a change of state, hence the establishment of what is described in the MMC.

[3] Concessive clauses and concessive conditional clauses These clauses are headed by  $=s\tilde{a}$ . Examples are (110) (concessive) and (111) (concessive conditional).

- (110)  $[r\bar{a}m \ kanhae \ wa-i]=gu \ kha:=s\bar{a}:$ , Ram tomorrow come-FD=NMLZ COP.NFND=though  $j\bar{i}: \ wa=y\bar{a}=ta \ n\bar{a}pl\bar{a}-e \ makhu.$ 1SG.ERG 3SG=GEN=DAT meet-FC NEG 'Though Ram is coming tomorrow, I will not see him.'
- (111)  $[r\bar{a}m \ kanhae \ wa-i]=gu$   $ju:=s\bar{a}$ ; Ram tomorrow come-FD=NMLZ COP.NFND=though  $wa=y\bar{a}=ta$   $n\bar{a}pl\bar{a}-e$  makhu,  $j\bar{i}$ :. 3SG=GEN=DAT meet-FC NEG 1SG.ERG 'Even if Ram is coming tomorrow, I will not see him.'

[4] Conditional clauses that are marked with  $=s\bar{a}$ 

Either *ju*: or *kha*: may occur. In (112), the copula *kha*: occurs, but it can be replaced with *ju*: with no change in the meaning.<sup>7</sup>

(112)	[jĩ: chan=ta		=ta	bwa:	byu:]=gu		
	1SG	2SG=DAT		scolding	give.NFND=NMLZ		
	kha:=sā		cha	gyā-i	ale	chu	
	COP.NF1	COP.NFND=if 2SG		be.frightened	and what		
	thu-i		nã:	makhu. (Eloha	an)		
	understar	nd-FD	too	NEG			
	'If it was	the cas	e that I	had scolded you	von wa	ould have been	

'If it was the case that I had scolded you, you would have been frightened and would not have understood anything.'

We have seen that this MMC can occur in adverbial clauses.

#### 5.3.7 Discourse functions

The enclitic-type MMC signals various functional purports in discourse. There are two fundamental functions of the MMC, irrespective of whether it occurs with or without a copula: (i) to make a strong assertion (5.3.7.1); and (ii) to state a presupposed fact that is related to a topic in discourse (5.3.7.2). This MMC signals that the speaker presupposes that the situation stated in it is true. When it is used in an interrogative sentence, it bears a tone of interrogation whether what is stated is true or not, or the questioner's keen interest (5.3.7.3).

5.3.7.1 Making a strong assertion as a concluding remark. This MMC is often used to put forward a strong assertion, especially when the speaker

gives a concluding remark based on the preceding context. In this function, the 'Copula' of the MMC may not be omitted.

The utterance in (113) was made after another speaker said that, as they had not learned Japanese since coming to Japan, they had found life more difficult. By using this MMC, the speaker asserts in a strong tone that what he thinks is no less than an indisputable fact.

(113)	wa	lā	kha:,		ukĩ:	[chikipi=	=sã:
	that	EMPH	be.true	.NFND	therefore	2PL.HO	N=ERG
	jāpāni	· bhā	e	bhacā	sã:	saek-e	=gu
	Japane	se lang	guage	a.little	though	learn-I	NF=NMLZ
	kuta:	yān-ā	di-i		mā:]=gu		kha:.
	effort	do-CM	HON	N-INF	need.NFND	=NMLZ	COP.NFND
						nake at lea	st some effort
	to learn	n Japanes	e.' (Ne	war Cor	versation)		

Now consider the following example. In this story, Dārā, who used to be a sheep herdsman and has become a high ranking official, is suspected of embezzling tax, carrying it in a trunk, and one day the king tells him to open the trunk, only to find his old clothes in it. Then he explains why he always carries his old clothes in his trunk, uttering (114c). In this case, too, the speaker makes a strong assertion as a concluding remark.

(

(114) a.	ji	ā:	nhyā=mha	he	ju:=sā̃:		
	1SG	now	any=NMLZ	EMPH	COP.NI	FND=th	ough
	nhāpā:	ji	cha-mha	phaijwā	•	kha:.	
	first	1SG	one-CLF	sheep.he	rdsman	COP.N	FND
	'Whate	ver I am	now, I am pr	imarily a	sheep her	rdsman.	,
b.	thwa	khã	lwa:man-a	i=ki	ji ga	balẽ:	he
	this	story	forget-NF	PD=if	1SG an	ytime	EMPH
	nyāya	nisāph	bi:	pha-i	-makhu.		
	justice	judgm	ent give.IN	F can-F	D-NEG		
		got this : ent at an	fact, I would y time.'	not be ab	le to make	e a logic	al
c.	ukĩ: [j	ĩ:	tha:=yā=	=ta	thar	nhã:	
	so 1	SG.ERG	REFL=G	EN=DA	Γ REI	FL.ERG	
	lumãk-a	ā	ta-e=ta	he	thwo	a wasa	a:
	rememl	ber-CM	put-INF=DA	AT EMP	PH this	clotl	hes
	nāpã:	tay-ā	j	uy-ā]=gu	ı	kha:.	
	nearby	put-Cl	M t	ravel-NF	C=NMLZ	COP.	NFND
	'So I ca	arry these	e clothes with	me inter	tionally to	o <b>remin</b> o	d me.'

A statement by this MMC must refer to an established fact. It is possible to question whether a certain proposition is true or not by using this MMC, e.g. (115). However, it is not possible to use this MMC in the assertive to express the speaker's recognition of a fact; see (116).

(115)	lã pyān-ā		cwar	<i>1-a</i> .	[cān=ae	wā	
	road	be.wet-CM	CON	IT-NFPD	night=LOC	rain	
	wa:]=gu	!	kha:	lā?			
	come.NI	FND=NMLZ	COP.NF	ND Q			
	LT: 'The road is wet. Is it true that it rained during the night?'						
	FT: 'The	e road is wet. I	Did it rain	during the	night?		
(116)	lã	pyān-ā	сжа	in-a.	*[cān=ae	wā	
	road	be.wet-CM	CO	NT-NFPD	night=LOC	rain	
	wa:]=g	ru	kha:.				
	come.NFND=NMLZ COP.NFND						

'The road is wet. It was the case that it rained during the night.'

The MMC in the above sentence is a concluding remark that is drawn by conjecture based on the fact that the road is wet. The proposition 'It rained during the night' is not guaranteed as a fact, so it is not natural to use this MMC here. However, adding the modal auxiliary verb  $m\bar{a}$ ; which marks conjecture, will save the sentence, as follows.

(117)	lã pyān-ā		cwan-a.		[cān=ae	wā
	road	wet-CM	CONT-N	NFPD	night=LOC	rain
	wa:]=gu		kha-e	mā∶.		
	come.NF	ND=NMLZ	COP-INF	shoul	d.NFND	
				been tl	he case that it	
	raineo	d during the r	night'			
		1 •	r 1	• •	1 • .1 •	1

FT: 'The road is wet. It must have rained during the night.'

5.3.7.2 Background information. The enclitic-type MMC is often used to supply background information: [1] introducing a statement that leads to the main theme of narrative, [2] providing additional explanatory information such as reason, evidence, etc., or [3] elaborating on a topic in detail.

When the background information consists of more than one sentence, this MMC may be used sequentially, indicating that the sequence of MMCs constitutes a set of background information. The MMCs may have the 'Copula' (full-fledged MMCs) or omit it (copula-less MMCs), the presence of the copula *kha*: delivers a more assertive tone.

[1] Introducing a statement for the main theme

In narratives, the first line of a story is usually a lead sentence, either a topic sentence or a sentence that supplies background information that is relevant to the topic. This MMC can be used to introduce background information at

the beginning of a story when the statement concerns a previous situation that no longer holds true at the time of the main story but that is relevant to it. The following sentence, which is taken from a story about clothes, is the first line of the passage. The MMC provides a piece of background information relevant to the main theme of the passage about the development of clothes.

(118)	[nhāpā	nhāp	pā	dhũ,	bhālu	ı, sa	la,	kisi	thẽ:
	before	befo	re	fox	bear	hc	orse	elephant	like
	manu:	nã:	gũ	$=\tilde{i}$	h	е	CW	an=i:]=gu	
	man	too	for	est=LC	C E	MPH	liv	e-FD=NML	Z
	kha:.		(El	ohan)					
	COP.NFND								

'A long, long time ago, men used to live in forests like foxes, bears, horses and elephants.

In this function, regardless of the tense in the 'Clause', the MMC implies that the situation presented in it no longer holds at the moment of speech. The verb is interpreted as past habitual in (118). The following example is an elicited sentence. The consultant says that the MMC signals that the proposition presented is no longer true and the sentence that follows may start with *tara* 'but'.

(119) [jī: yakwa khānji ākha: lumā.k-ā]=gu
1SG.ERG many kanji character memorize-NFC=NMLZ kha:.
COP.NFND

'(It is true that) I remembered a lot of kanji characters.'

The above sentence implies that now the speaker does not remember all of them. On the other hand, if the  $=gu \ kha$  portion is removed to make an independent clause, this implication is canceled.

A copula-less MMC may also be used in this function, though such cases are not common. The following sentence is the first line of a story, which presents a background setting for what follows. The function is the same as the one of the full-fledged MMC: to introduce a new topic into discourse.

(120)	[jã:gal=yā	sitha=e	cha-r	nha	manu:	nhi=yā
	jungle=GEN	border=LO	C one-(	CLF	man	day=GEN
	nhithã:	si	miy-ā:	ji:bo	in k	nan-ā
	day.ERG	garment	sell-CP	life	j	oin-CM

*cwan-i:*]=*gu*. CONT-FD=NMLZ 'On the edge of a ju

'On the edge of a jungle, a man was earning a living by selling garments from sunrise to sunset.' (Elohan)

[2] Stating a reason as background information

Another use of this MMC is to state a reason as background information. The MMC in (121b) is stated as a reason for the event described in (121a).

(121)	a.	juju,	lāni	wa	me=pĩ:		sak	alẽ:	bhacā
		king	queen	and	other=NML	Z.PL	all		a.little
		lipā	jaka	bijyā	i-e	dhaigi	ı	āggyā	
		later	only	come	e.HON-INF	QUOT	Γ	instruc	tion
		ju:=g	и		du.				
		happe	n.NFND	NM=	LZ exist.	NFND			
'According to the king's instruction, the king, the queen ar							ueen and		
		the ot	hers will	all co	ome a little la	iter.'			

b. [yuwarāj khãlhābalhā hajur=yā rājkumāri nāpa prince Sir=GEN princess with talk yā-e=ta byu:]=gukha:. awasar give.NFND=NMLZ do-INF=DAT chance '[The king] gave Your Majesty the Crown Prince a chance to talk with the princess.'

[3] Topic elaboration

In elaborating on a topic in discourse, a set of sequential MMCs may be used (Hale and Shrestha 2006). In such cases, they are often copula-less. In elaborating on a topic, specific events and states related to it are presented in sequential MMCs.<sup>8</sup>

The following example, which is taken from Hale and Shrestha (2006: 195), illustrates a case of elaboration of a topic.

(122)	a.	cihrimā̃:=mesyā	punakhũ:	maĩ∶cā	mikhā		
		stepmother=ERG	Punakhun	Mainca	eye		
		bā-galã:	he swa	ı-e n	na-ya:.		
		half-CLF.ERG	EMPH lool	k.at-INF N	NEG-like.NFND		
b.	'The stepmother couldn't stand the sight of						
		Punakhun Mainca.'					
	b.	akĩ: [wã:	nhesumhy	āe=yā=ta			
		so that.ERG	stepdaugh	ter=GEN=I	DAT		

so that.ERG stepdaughter=GEN=DA' na-kẽ: syā-i]=gu. eat-CAUS.INF.ERG kill-FD=NMLZ 'So she would feed her stepdaughter very little.' Lit.: '<u>kill by feeding</u>'

c.	tha:	mhyā	e=yā=ta		dhā:sā	· [٤	ghya:	duru
	oneself	daugh	ter=GEN	=DAT	TOP	g	hee	milk
	lā	tay-ā:	jā	nak-i]=	gu.			
	meat	put-CP	rice	feed-FD	=NMLZ			
	'As for her own daughter, she with ghee, milk and meat.'				ld feed he	er ric	e toge	ther
d.	[sāː~sāː	•		bhĩ:~	bhĩ:		dhā	i-kwa
	•	.NFND-	PL ·	good.	NFND-P	L	say	-much
	nak-i:]=	=gu.						
	feed-FD	)=NML2	Z					

'She would feed her as much good tasty food as she would ask for.'

According to Hale and Shrestha, the sentence in (122a) states the stepmother's antipathy for Punakhun Mainca in a verb-predicate clause. Then the series of copula-less MMCs follow it to develop the antipathy theme.

The MMC in the example above is copula-less. The MMC with the copula *khaye* is stronger in assertion (cf. 4.1-[3]). In the following examples, the MMC has the copula *kha*; giving rise to a strong assertive tone.

(123)	a.		<i>chã:!</i> 2SG	-		<i>bwã:-wan-</i> study.PUR	
		dhay-ā]			ha:	lā?"	
		say-FC=	0		COP.NFNI		
		•					
		'My boy	, did you say	/ that yo	ou will go t	to Kasi to st	tudy?'
	b.	cha-nhu	cirimā-m	hã:	nyan	i-ā di	l-a.
		one-day	stepmoth	er-AD	ask-0	CM HC	N-NFPD
		'One day	his stepmot	ther ask	ed him.'		
	c.	"kha:,	cirimã:!	[ji	kāsi:	he	wan-e
		yes	stepmother	1SG	Kasi.LOC	EMPH	go-FC
		dhay-ā]=	gu		kha:.		
		say-NFC	=NMLZ		COP	.NFND	
		'Yes, Mo	m! It is true	that I s	said that I v	would go to	Kasi.'
	d.	thana	he b	wan-e	dhā:=	sā	

a.	tnana	ne	owan-e	ana:=:	sa
	here	EMPH	study-FC	say.NI	FND=if
	[bwanel	khuthi	du]=gu		ma-khu.
	school		exist.NFND=N	MLZ	NEG-COP.NFND

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LT: 'If I decide to study, it is not the case that there is a school here.'

	FT: 'Even	if I decide to st	tudy, the	re is no scho	ol here.'	
e.	čhu	yā-e?	lã	kharcā	jaka	
	what	do-FC	road	expense	only	
	du=sā	[gā:]=g	gu	<i>kha:</i> . "(Elohan)		
	exist.NFN	D=if suffice.	NFND=	NMLZ CO	P.NFND	
	LT: 'What do I do? It is sufficient if I have only travel					
	exper					
	FT: What	should I do? I		d travel expe	enses.'	
f.	wã:	cirimā̃:=yā		khwā:	pulukka	
	3SG.ERG	stepmother	=GEN	face	in.a.glance	
	sway-ā:	dhāl-a.				
	look-CP	say-NFPD				

'He said, glancing at his stepmother's face.'

Asked by his stepmother in (123a), the child answers her by using the MMCs in (123c-f). In the sequence of the MMCs with the copula *kha*, his answer is more assertive, implying his strong will to go to Kasi to study.

5.3.7.3 MMC in interrogative clauses. The enclitic-type MMC may be used in interrogative sentences. Asking a question employing this MMC gives rise to a tone of interrogation, or the questioner's keen interest. Compare:

- (124) *chu yān-ā?* what put-NFC 'What did you do?'
- (125) [chu yān-ā]=gu? what put-NFC=NMLZ 'What did you do?'
- (126) [chu yān-ā]=gu kha? what put-NFC=NMLZ COP.NFND 'What on earth did you do?'

All of the three patterns are possible: (124) independent sentence, (125) copula-less MMC and (126) full-fledged MMC. According to my consultants, (124) is the unmarked question. It is often used. (125), too, is often used, but it has a more intimate tone, and sometimes it is less polite. (126) has a strong tone of interrogation.

# 6. Noun-predicate sentences with the nominalizers $=mha/=p\tilde{i}$ :

So far we have discussed two types of the MMC: the noun type (5.2) (see (127a)) and the enclitic type (5.3) (see (127b)).

(127) a. [Clause]=gu bhāgya Copula b. [Clause]=gu Copula

As seen in 4.2.1.1, Newar has three nominalizers: =gu 'INAN', =mha (ANIM SG) and  $=p\tilde{i}$ : (ANIM PL). We examined the use of =gu 'INAN' in 5.2 and 5.3. The animate nominalizers =mha and  $=p\tilde{i}$ : can occur in a construction that looks similar to the MMC that involves the nominalizer =gu 'inanimate', discussed in 5.3. Its structure can be shown as follows.

(128) Subject [... Verb]= $mha/=p\tilde{i}$ : Copula

The 'Verb' is almost fully finite; all the finite forms occur except the nonfuture perfective disjunct. The portion '[... Verb]= $mha/=p\tilde{i}$ :' represents a nominalized clause. This construction expresses 'one who does ...'. This is illustrated in (129) and (130). Curly brackets indicate the portion that may look like the 'Clause' of the MMC.

(129)	{rām	[yakwa	aelā:	twan-i:]}=mha	kha:.		
	Ram	much	liquor	drink-FD=NMLZ	COP.ND		
	LT: 'Ra	im is one v	who drinks a	a lot of liquor.'			
	FT: <b>'R</b> a	m drinks/1	used to drin	k a lot of liquor.'			
(130)	{deba-a	lebi: dh	ha-i=pĩ:	[jhi:=gu			
	god-go	oddess sa	y-FD=PL	1PL.INCL=NMLZ			
	raccha		yā-i]}=pĩ:	kha:.			
	protection	on	do-FD=NM	ILZ COP.NPND			
	LT: 'Those who we call gods and goddesses do our protection.'						
	FT: 'Gods and Goddesses protect us.'						

It may look as if these were instances of the MMC in which the 'Noun' slot is occupied by the nominalizer  $=mha/=p\tilde{i}$ . However, this construction is best regarded not as an instance of the MMC, but as a noun-predicate sentence whose predicate is a nominalized clause (or a headless AC). There are two reasons for this.

- (a) The 'Subject' is syntactically outside the 'Clause' and it is the subject of the 'Copula', and not that of the 'Verb'.
- (b) The nominalizer agrees with the covert animate head, which in turn refers to the 'Subject'.

As is represented in (1), the MMC has the 'Clause', as a constituent distinct

from the 'Noun' and the 'Copula'. That is, all the other elements but the 'Noun' and the 'Copula' are in the 'Clause' syntactically. Therefore, the 'Clause' can be used as an independent sentence as it stands. This is the case with the two types of MMC in (127), as discussed in 5.2.5 and 5.3.5.

Unlike the two types of MMCs in (127), what may look like the 'Clause' (shown with curly brackets) may not be used as an independent sentence.

In an independent sentence, if the verb is transitive, the subject must be in the ergative case; see (131) and (132).

(131)	rām=ã:	yakwa	aelā:	twan-i:.	
	Ram=ERG	much	liquor	drink-FD	
	'Ram drinks	a lot of l	iquor.'		
(132)	deba-debi:	dha-	i=pĩ:=sã:	jhi:	=gu
	god-goddess	say-l	FD=PL=EI	RG 1PI	L.INCL=NMLZ
	raccha	y	ā-i.		
	protection	d	o-FD		
	'Gods and G	oddesses	protects u	s.'	

However, in (129) and (130), although the verb is transitive, the subject is in the absolutive form. This means that the 'Subject' of the construction in (128) always occurs in the absolutive case, irrespective of the case of the subject in the corresponding independent sentence. The following pair of examples confirms this point.

- (133) {cha [atikã: buddhi du]}=mha kha..
  2SG much knowledge exist.NFND=NMLZ COP.ND
  LT: 'You are who have much knowledge.'
  FT: 'You have much knowledge.'
- (134) cha=ke atikã: buddhi du.
  2SG=LOC much knowledge exist.NFND=NMLZ LT: 'Much knowledge is with you.'
  FT: 'You have much knowledge.'

(134) is an example of the existential/possessor construction, where the possessor is marked with the locative case. In the *=mha kha:* construction (133), the possessor will never remain to be the locative but must occur in the absolutive. In this case as well, the 'Subject' must be in the absolutive case, although the possessor in the corresponding independent sentence occurs in the locative form.<sup>9</sup>

Now consider the nominalizers. In (129), the 'Subject' is 'Ram', and the nominalizer is =mha 'ANIM SG'. In (130), the 'Subject' is 'god-goddess', and the nominalizer is  $=p\tilde{i}$ : 'ANIM PL'. In (133), the 'Subject' is '2SG', and the nominalizer =mha 'ANIM SG'. The nominalizers all 'agree' with

the 'Subject'. To be precise, the nominalizer agrees with the covert head, which is coreferential with the 'Subject'. This, too, shows that the construction (128), e.g. (129), (130) and (133), contains a nominalized clause, or a headless AC, that agrees with the 'Subject'.

In contrast, the nominalizer in the enclitic-type MMC (see (127b)) is consistently =gu 'INAN', irrespective of the animacy or the number of the subject of the MMC. This shows that, in the enclitic-type MMC, =gu does not agree with any constituent. For example, consider the following sentences. The subject is shown in parentheses. (56) ('ISG.ERG'), (57) ('students'), (58) ('ISG.ERG'), and (60) ('village'). This provides evidence for the view that sentences such as (129), (130) and (133) should be distinguished from the enclitic-type MMC.

To sum up, we have seen evidence that (129), (130) and (133) are noun-predicate sentences whose predicate is a nominalized clause or a headless AC, and that they should be distinguished from the enclitic-type MMC.

#### 7. Semantics/pragmatics and etymology of the MMC

We shall briefly summarize the semantics/pragmatics of the two types of the MMC. It is convenient to include the noun-predicate sentences whose predicate is a nominalized clause.

etymology or cognate	meaning or use outside MMC	semantics/pragmatics of MMC
	bhāgya 'fate, lot, luck'	epistemic: fate, destiny, lot, luck
classifier =gu	=gu (a) complementeizer, (b) nominalizer (INAN)	<ul> <li>(a) strong assertion,</li> <li>(b) presupposed fact</li> <li>(c) question: tone of interrogation, keen interest</li> </ul>
mha 'body'	<i>=mha</i> 'nominalizer' (ANIM SG)	'one who does'
plural - <i>pĩ:</i>	=pĩ: 'nominalizer' (ANIM PL)	'those who do'

Table 2. Semantics/pragmatics and etymology of the MMC

#### 8. Comparison of the MMCs with other constructions

We shall compare the two types of the MMC with a few other constructions. The constructions compared are the following.

- (a) Verb-predicate sentences, as the representative of independent sentences (cf. 4.1)
- (b) Noun-type MMC (noun *bhāgya* 'fate, destiny, lot, luck') (cf. 5.2)
- (c) Enclitic-type MMC (nominalizer = gu (INAN)) (cf. 5.3)
- (d) Construction with the nominalizer =mha (ANIM SG)/= $p\tilde{i}$ : (cf. 6)
- (e) Adnominal clauses ('ACs') (cf. 4.2.1).

Recall that the predicate of ACs is followed by the nominalizer =gu (ANIM).

This comparison will concern the following respects.

(i) Morphology of the predicate

- (ii) Case of the transitive subject ('A')
- (iii) Case of the intransitive subject ('S')
- (iv) Sentencehood: Can the 'Clause' or the 'underlined part' be used as a sentence?

The result of the comparison is shown in Table 3. 'NFPD' indicates 'nonfuture perfective disjunct'.

Table 3. Comparison	of the MCC with	other constructions	

	predicate	S	Α	sentencehood
(a) Verb-predicate sentence	fully finite	ABS, GEN	ERG, GEN	n.a.
(b) MMC: <i>bhāgya</i>	almost fully finite, but NFPPD cannot occur	ABS, GEN	ERG, GEN	generally yes
(c) MMC: <i>=gu</i>	almost fully finite, but NFPD cannot occur	ABS	ERG	generally yes
(d) =mha/ =pĩ:	almost fully finite, but NFPD cannot occur	ABS	ABS	not always yes
(e) AC (=gu/=mha/=pĩ:)	almost fully finite, but NFPD cannot occur	ABS	ERG	n.a.

The noun-type MMC is similar to verb-predicate sentences (not to ACs), at least in terms of the case of the S and the A. In contrast, the enclitic-type MMC is similar to ACs (not to verb-predicate sentences) regarding (i) the case of the S and the A, (ii) the morphology of the predicate, and also (iii) the use of the nominalizer =gu.

# 9. Summary and concluding remarks

Newar has two types of the MMC. In the noun-type, the 'Noun' slot is occupied by the enclitic nominalizer =gu (INAN) and the noun *bhāgya* 'fate, lot, destiny, luck'. This MMC has something like an epistemic meaning that concerns fate, destiny, lot or luck. Under certain conditions, the S and the A must occur in the genitive case, and not in the absolutive case and the ergative case, respectively. The noun *bhāgya* retains its nounhood in that it can be modified. This MMC is slightly more similar to verb-predicate sentences than to ACs.

In the enclitic-type of the MMC, the Noun' slot is occupied by the enclitic nominalizer =gu (INAN). This MMC is not a prototypical MMC in that the 'Noun' slot is occupied by an enclitic, and not a noun. The S occurs in the absolutive case, and the A in the ergative case. This MMC has three discourse functions: (i) to make a strong assertion, and (ii) to state a presupposed fact. (iii) In interrogative sentences, this MMC has a tone of interrogation or keen interest. This MMC is more similar to ACs, than to verb-predicate sentences.

In both types of the MMC, the 'Clause' can generally be used by itself. However, this is not always the case. In this respect, they are not prototypical MMCs.

In addition, there is a construction that involves the enclitic nominalizer =mha (ANIM SG) (cognate with the noun *mha* 'body') or =pi. (AINM PL). It is a noun-predicate sentence whose predicate is a nominalized clause or a headless AC. It expresses 'one who does ...'. The subject must occur in the absolutive case even when the verb in the nominalized clause is a transitive verb.

### Abbreviations

A - transitive subject; ABS - absolutive case; AC - adnominal clause; AD - antideictic; ADV - adverbial marker; ANIM - animate; BEN – benefactive; CAUS - causative; CONT - continuous; CLF - classifier; CM - concatenation marker; CNTR - contrastive; COP - copula; CP - conjunctive participle; DAT – dative; EMPH - emphatic; ERG - ergative; EXCL - exclusive; FC - future conjunct; FD - future disjunct; FT - free translation; GEN - genitive; HON - honorific; IMP - imperative; INAN - inanimate; INCL – inclusive; INF - infinitive; INFM - informative; LOC – locative; LT - literal translation; MMC - mermaid construction; NFND – nonfuture

neutral disjunct; NEG - negation; NFC - nonfuture conjunct; NFPD - nonfuture perfective disjunct; NPST - nonpast; NMLZ - nominalizer; LOC - locative; PRF - Perfect; PL - plural; Q - question marker; QUOT - quotative; REFL - reflexive; S - intransitive subject; SG - singular; STEM - stem; TOP - topic.

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#### Notes

- 1 The dictionary forms are the literal transliteration of the devanāgarī exponents and only appear as the entry form in dictionaries. Dictionary forms are used to cite uninflected forms of verbs in this paper.
- 2 I elicited data from Mr. Manik Ratna Shakya, Ms. Rata Shakya, both from Patan, and Mr. Arun Shrestha, from Kathmandu. The source written materials are represented in parentheses with examples. They are: *Elohan*, monthly Newar magazines; *Newar Textbook*, an official school textbook of Newar Reader; *Newar Conversation*, a Newar conversation textbook written by Tej Ratna, Kansakar, Tokyo: Research Institute of Languages and Cultures of Asia and Africa, 2002; *Original Asti*, a Newar story book; *Sweet Grapes*, a Newar story book; *My Memory*, a Newar essay book; *An Interview with Sham Dangol*: a recorded interview with Mr. Sham Dangol.
- 3 O'Rourke (2000) and Hale and Shrestha (2006) extensively discuss Newar ACs, but they do recognize external ACs.
- 4 The locative form of the nominalizer =gu is guli-i as in (30).
- 5 When a compound verb, like *lwa:mane* in (32), is negated by the negative prefix *ma*or emphasized by an emphatic particle he, the additional element is attached to the stem verb before the bound morpheme on the left. In the gloss, the left morpheme is given the sense of the compound verb, and the stem verb is labeled as STEM.
- 6 Hale and Shrestha discuss the alternation of regular cases with the genitive in non-generic contexts. They call the phenomenon as "genitive experiencer overlay", analyzing the effect as "casting the agent as one who chooses to perform an action or as an agent who experiences the result of the action" (Hale and Shrestha 2006:174).
- 7 Although the subject of the MMC is the first person and the verb denotes an intentional action, the verb occurs in the disjunct form (here, neutral disjunct). This is because the sentence expresses a counter-factual situation, in which case the conjunct form will not be used.
- 8 Hale and Shrestha (2006: 195ff) discuss copula-less MCCs, which they call "finite nominal clauses". I do not make a full reference to their discussion, but they also describe the function of the copula-less MMC as marking background materials,

illustrating examples of elaboration of a theme, laying plans and summarization of previous events. In such cases as well, the events and states expressed in the nominalized clauses are presupposed facts, as I discussed.

- 9 When the verb of the nominalized clause in (128) is an activity intransitive verb, the subject will be in the absolutive form. Therefore the portion that may look like the 'Clause' of the MMC (i.e. the 'Subject' [... Verb]) will be incidentally able to be an independent sentence.
  - (i) {rām [yakwa khwa-i]}=mha kha: Ram much cry-FD=NMLZ COP.NFND
     'Ram cries a lot.'

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